



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Takashi Ootawara, et al. **Examiner:** Beverly M. Flanagan
Serial No: 10/017,743 **Art Unit:** 3739
Filed: December 14, 2001 **Docket:** 15163
For: ENDOSCOPE AND
ENDOSCOPE SYSTEM
THEREOF **Dated:** April 28, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Publication No. WO 01/58360 A2, published August 16, 2001;
2. Tajiri, H., et al., "Practical Endoscopic Cholangiopancreatography to Establish a Safer Endoscopic Technique", published by Nihon Medical Center, together with a partial English translation; and
3. Tatsuta, M., et al., "Digestive Endoscopy Technical Manual", published by Nankodo Co., Ltd., together with a partial English translation.

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MAY 06 2004

TECHNOLOGY CENTER R3700

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: April 28, 2004


Thomas Spinelli

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c), a check in the amount of \$180.00 is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Thomas Spinelli', with a stylized flourish at the end.

Thomas Spinelli

Registration No.: 39,533

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TS:cm

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

15163

In Re Application Of: **Takashi Ootawara, et al.**

APR 30 2004

Serial No.

10/017,743

Filing Date

December 14, 2001

Examiner

Beverly M. Flanagan

Group Art Unit

3739

Title: **ENDOSCOPE AND ENDOSCOPE SYSTEM THEREOF**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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TECHNOLOGY CENTER R3700

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15163

In Re Application: **Takashi Ootawara, et al.**

Serial No.

10/017,743

Filing Date

December 14, 2001

Examiner

Beverly M. Flanagan

Group Art Unit

3739

ENDOSCOPE AND ENDOSCOPE SYSTEM THEREOF

Payment of Fee

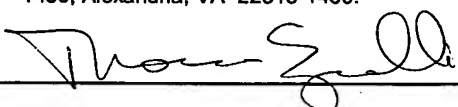
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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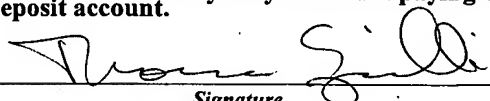
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Thomas Spinelli	

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Signature

Dated: **April 28, 2004**

Thomas Spinelli

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